Final Draft (revised 6-23-20)

Soc/Law/PA/Pol 596f: Theory and Research on the Nonprofit Sector Spring, 2020 Thursday, 3:30-6:00 PM Social Science 415

Instructor:

Prof. Joseph Galaskiewicz Social Science, Room 434 520-621-7084 galaskie@email.arizona.edu Office hours: Friday, 1:00 to 5:00 PM

Course Overview:

This course examines the nonprofit sector as a social institution. It focuses primarily on the American nonprofit sector, but we also look at how the sector 'plays' in other parts of the world. Different disciplines have looked at the sector from different perspectives. In part one we review how history, law, economics, sociology, political science, and org theory view the sector. The second part of the course reviews theory and research on stakeholders, e.g., government, corporations, members, volunteers, donors, and foundations. The final part of the course looks at international non-governmental organizations.

Expected Learning Outcomes:

Upon successful completion of this course:

- Knowledge of Social Institutions
 - Students can differentiate between a nonprofit, for-profit, and government organizations based on legal norms
- Knowledge of how to think critically about social issues in contemporary society
 - Students are able to differentiate between performance criteria which are easy to measure and quantifiable and those which actually serve the mission of the organization
- Knowledge of research methods and primary research evidence
 - Students will be able to make presentations and lead discussions.
 - Students will be able to write a critical literature review in the field of study.
 - Students will be able to conduct research in the fields of study and write up their results.

D2L Course Site:

The class has a D2L course site (http://d2l.arizona.edu/). All important information and announcement on course-related topics as well as course materials will be posted. It is suggested to turn on the notification function on D2L to your UA official email address to get the notification of any announcement, changes, and uploads **on time**. It is your responsibility to check the site and your UA official email regularly. D2L help page

(<u>https://help.d2l.arizona.edu/student/student-home</u>). **How to control your D2L notifications:** Click your Personal Menu, located at the top of your D2L My Home screen, and select Notifications.

Course Materials:

We will be reading selected book chapters and journal articles throughout the semester. Book chapters and journal articles will be uploaded on the class D2L space (under "content" and "ebooks"). You are responsible for reading all materials with an asterisk next to it.

Requirements & Grading:

First, you are required to write four one-page, single spaced critical essays. Each will cover an article or book chapter. These essays will be graded and count for 60% of your grade (15% each). In these essays you should summarize the content and describe how the readings contribute to the literature, complement each other, and approach issues in a different way. You should also touch upon their shortcomings. You need to select your readings by the second week of class.

Second, you are expected to lead the class discussion on the reading for that week. I would advise you to check with the instructor a few days before the class to go over the format for the class.

Finally, you are required to write a research paper (20 pages including text, references, tables, figures, etc.). It may take the form of a literature review, a research proposal, a case study, or a research note (e.g., analysis of secondary data). The grade on the paper will count for 40% of your grade. You need to have your topic approved by the instructor at the beginning of the semester (one page outlines due February 6th). You will make a brief report (3 minutes) to class on your topic on February 27th or March 5th. The final paper is due May 7th, but I will accept incompletes if need be. Students may take the course pass/fail.

Basis for Grading Student Performance: Critical review essays (60%) and research paper (40%).

Attendance and Class Participation:

Participating in the course and attending lectures and other course events are vital to the learning process. As such, attendance is required at all class meetings. If you anticipate being absent, are unexpectedly absent, or are unable to participate in class online activities, please contact the instructor as soon as possible prior to the class meeting. *To request a disability-related accommodation to this attendance policy, please contact the Disability Resource Center at (520) 621-3268 or drc-info@email.arizona.edu*. If you are experiencing unexpected barriers to your success in your courses, the Dean of Students Office is a central support resource for all students and may be helpful. The Dean of Students Office is located in the Robert L. Nugent Building, room 100, or call 520-621-7057.

- The UA's policy concerning Class Attendance, Participation, and Administrative Drops is available at: <u>http://catalog.arizona.edu/policy/class-attendance-participation-and-administrative-drop</u>
- The UA policy regarding absences for any sincerely held religious belief, observance or practice will be accommodated where reasonable. See http://policy.arizona.edu/human-resources/religious-accommodation-policy.

- Absences pre-approved by the UA Dean of Students (or Dean Designee) will be honored. See: <u>https://deanofstudents.arizona.edu/absences</u>

Code of Academic Integrity:

Students are encouraged to share intellectual views and discuss freely the principles and applications of course materials. However, graded work/exercises must be the product of independent effort unless otherwise instructed. Students are expected to adhere to the UA Code of Academic Integrity as described in the UA General Catalog. See: http://deanofstudents.arizona.edu/academic-integrity/students/academic-integrity

The University Libraries have some excellent tips for avoiding plagiarism, available at <u>http://new.library.arizona.edu/research/citing/plagiarism</u>.

Selling class notes and/or other course materials to other students or to a third party for resale is not permitted without the instructor's express written consent. Violations to this and other course rules are subject to the Code of Academic Integrity and may result in course sanctions. Additionally, students who use D2L or UA e-mail to sell or buy these copyrighted materials are subject to Code of Conduct Violations for misuse of student e-mail addresses. This conduct may also constitute copyright infringement.

Threatening Behavior Policy:

The UA Threatening Behavior by Students Policy prohibits threats of physical harm to any member of the University community, including to oneself. See http://policy.arizona.edu/education-and-student-affairs/threatening-behavior-students.

Classroom Behavior Policy:

To foster a positive learning environment, students and instructors have a shared responsibility. We want a safe, welcoming, and inclusive environment where all of us feel comfortable with each other and where we can challenge ourselves to succeed. To that end, our focus is on the tasks at hand and not on extraneous activities (e.g., texting, chatting, making phone calls, web surfing). If you choose to use e-textbook in class, please notify your instructors in advance.

Accessibility and Accommodations:

At the University of Arizona we strive to make learning experiences as accessible as possible. If you anticipate or experience barriers based on disability or pregnancy, please contact the Disability Resource Center (520-621-3268, <u>https://drc.arizona.edu/</u>) to establish reasonable accommodations.

UA Nondiscrimination and Anti-harassment Policy:

The University is committed to creating and maintaining an environment free of discrimination; see <u>http://policy.arizona.edu/human-resources/nondiscrimination-and-anti-harassment-policy</u>

Our classroom is a place where everyone is encouraged to express well-formed opinions and their reasons for those opinions. We also want to create a tolerant and open environment where such opinions can be expressed without resorting to bullying or discrimination of others.

Accessibility and Accommodations:

At the University of Arizona we strive to make learning experiences as accessible as possible. If you anticipate or experience barriers based on disability or pregnancy, please contact the Disability Resource Center (520-621-3268, https://drc.arizona.edu/) to establish reasonable accommodations.

Notes:

In the case that we need to cancel class, you will be notified in advance (except in the case of an emergency). Information contained in the course syllabus and class schedule, other than the grade and absence policy, may be subject to change with advance notice, as deemed appropriate by the instructor. All changes will be announced in class and/or on D2L.

Readings items WITH an asterisk are required readings for the class.

Introduction

January 16 Overview of the Sector

*Peter Frumkin. 2002. <u>On Being Nonprofit: A Conceptual and Policy Primer</u>. Cambridge, MA: Harvard University Press. Chapter 1

*Lester M. Salamon. 2012. "The Resilient Sector: The Future of Nonprofit America." In <u>The State of Nonprofit America</u>, 2nd Edition, edited by Lester M. Salamon. Washington, D.C.: Brookings Institution Press.

*Lester M. Salamon and S. Wojciech Sokolowski. 2016. "Beyond Nonprofits: Reconceptualizing the Third Sector." <u>Voluntas</u>, 27:1515-45.

*Walter W. Powell. 2020. "What is the Nonprofit Sector?" In <u>The Nonprofit Sector: A</u> <u>Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 1.

Disciplinary Perspectives on the Nonprofit Sector

January 23 Historical Perspectives on the Nonprofit Sector

*Jürgen Habermas (translated by Thomas Burger with the assistance of Frederick Lawrence). 1995. Cambridge, MA: The MIT Press. Chapters 2.

*Alexis DeTocqueville. 2000. "On the Use that the American Make of Association in Civil Life" and "Relations between Civil Associations and Political Associations." <u>Democracy in America</u> translated by Harvey C. Mansfield and Delba Winthrop. Chicago: University of Chicago Press. Part II, Chapters 5.

*Sheldon Garon. 2003. "From Meiji to Heisei: The State and Civil Society in Japan." In <u>The State of Civil Society in Japan</u> edited by Frank J. Schwartz and Susan J. Pharr. New York: Cambridge University Press.

*Qiusha Ma. 2006. <u>Non-Governmental Organizations in Contemporary China: Paving the</u> <u>Way to Civil Society?</u> New York: Routledge. Chapter 1. (Zeng)

*Benjamin Soskis. 2020. "A History of Associational Life and the Nonprofit Sector in the United States." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 2.

Other:

Robert H. Brenner. 1988. <u>American Philanthropy</u> (2nd Edition). Chicago: University of Chicago Press.

Kevin C. Robbin. 2006. "The Nonprofit Sector in Historical Perspective: Traditions of Philanthropy in the West." In <u>The Nonprofit Sector: A Research Handbook, 2nd Edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

Peter D. Hall. 2006. "A Historical Overview of Philanthropy, Voluntary Associations, and Nonprofit Organizations in the U.S." In <u>The Nonprofit Sector: A Research Handbook, 2nd Edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

January 30 Legal Perspectives on the Nonprofit Sector

*John G. Simon.2006. "The Federal Tax Treatment of Nonprofit Organizations." In <u>The</u> <u>Nonprofit Sector: A Research Handbook, 2nd edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

*Evelyn Brody. 2006. "The Legal Framework for Nonprofit Organizations." In <u>The</u> <u>Nonprofit Sector: A Research Handbook, 2nd edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press. (Aljadid)

*Daniel J. Hemel. 2020. "Tangled Up in Tax: The Nonprofit Sector and the Federal Tax System." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 5.

Other:

Marion R. Fremont-Smith. 2004. "A Brief History of the Law of Charities." <u>Governing</u> <u>Nonprofit Organizations: Federal and State Law and Regulation</u>. Cambridge, MA: Belknap/Harvard University Press. Chapter 2.

February 6 Political Theory and the Nonprofit Sector

*Robert D. Putnam. 1993. <u>Making Democracy Work: Civic Traditions in Modern Italy</u>. Princeton, NJ: Princeton University Press. Chapters 4, 6 (Lee)

*Robert D. Putnam. 1995. "Bowling Alone: America's Declining Social Capital." <u>Journal</u> of <u>Democracy</u>, 6:65-78. (Thorp)

*Dylan Riley. 2005. "Civic Associations and Authoritarian Regimes in Interwar Europe: Italy and Span in Comparative Perspective." <u>American Sociological Review</u>, 70:288-310. (Niu)

*Pamela Paxton. 2007. "Association Memberships and Generalized Trust: A Multilevel Model across 31 Countries." <u>Social Forces</u>, 86:47-76. (Soh)

*Daniel A. McFarland and Reuben J. Thomas. 2006. "Bowling Young: How Youth Voluntary Associations Influence Adult Political Participation." <u>American Sociological</u> <u>Review</u>, 71: 401-425. (Yoon)

*Dingeman Wiertz. 2016. "Segregation in Civic Life: Ethnic Sorting and Mixing across Voluntary Associations." <u>American Sociological Review</u>, 81(4):800-27.

*Ted Lechterman and Rob Reich. 2020. "Political Theory and the Nonprofit Sector." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 6.

Other:

Peter Frumkin. 2002. <u>On Being Nonprofit: A Conceptual and Policy Primer</u>. Cambridge, MA: Harvard University Press. Chapter 2

Pamela Paxton. 2002. "Social Capital and Democracy: An Interdependent Relationship." <u>American Sociological Review</u>, 67:254-277.

Thomas Rotolo and John Wilson. 2004. "What Happened to the 'Long Civic Generation'? Explaining Cohort Differences in Volunteerism." <u>Social Forces</u>, 82:1091-1121.

Doug McAdam and Cynthia Brandt. 2009. "Assessing the Effects of Voluntary Youth Service: The Case of Teach for America." <u>Social Forces</u>, 88: 945-70.

Martin Ruef and Seok-Woo Kwon. 2016. "Neighborhood Associations and Social Capital." <u>Social Forces</u>, 95(1):159-189.

February 13 Political Advocacy

*Christopher A. Bail, Taylor W. Brown, and Marcus Mann. 2017. "Channeling Hearts and Minds: Advocacy Organizations, Cognitive-Emotional Currents, and Public Conversation." American Sociological Review, 82(6): 1188-1213. (Soh)

*Yao Lu and Ran Tao. 2017. "Organizational Structure and Collective Action: Lineage Networks, Semiautonomous Civic Association, and Collective Resistance in rural China." <u>American Journal of Sociology</u>, 122(6): 1726-74.

* David Suarez. 2020. "Advocacy, Civic Engagement, and Social Change." In <u>The</u> <u>Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 20.

* Edward Walker and Yotala Oszkay Febres-Cordero. 2020. "The Changing Face of Nonprofit Advocacy: Democratizing Potentials and Risks in an Unequal Context." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 21.

Other:

Elizabeth T. Boris with Matthew Maronick. 2012. "Civic Participation and Advocacy." In <u>The State of Nonprofit America</u>, 2nd Edition, edited by Lester M. Salamon. Washington, D.C.: Brookings Institution Press.

Robert J. Pekkanen, Steven Rathgeb Smith, and Yutaka Tsujinaka. 2014. <u>Nonprofits and Advocacy: Engaging Community and Government in an Era of Retrenchment</u>. Baltimore: Johns Hopkins University Press.

February 20 Economic Perspectives on the Nonprofit Sector

*Burt Weisbrod. 1975. "Toward A theory of the Voluntary Non-Profit Sector in a Three-Sector Economy." Pp. 171-195 in <u>Altruism, Morality, and Economic Theory</u>, edited by Edmund Phelps. New York: Russell Sage. (Yoon)

*Henry Hansmann. 1980. "The Role of Nonprofit Enterprise." <u>Yale Law Journal</u>, 89:835-361.

*Burt Weisbrod. 1998. "Institutional Form and Organizational Behavior." In <u>Private Action</u> <u>and the Public Good</u>, edited by Walter W. Powell and Elisabeth S. Clemens. New Haven: Yale University Press.

*Richard Steinberg. 2006. "Economic Theories of Nonprofit Organizations." In <u>The Nonprofit Sector: A Research Handbook, 2nd edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press. (Yoon)

*Avner Ben-Ner, Darla J. Hamann, and Ting Ren. 2018. "Does Ownership Matter in the Section of Service Providers? Evidence from Nursing Home Consumer Surveys." <u>Nonprofit</u> and Voluntary Sector Quarterly, 47(6): 1271-1295. (Lee)

*Laurie E. Paarlberg and Samantha Zuhlke. 2019. "Revisiting the Theory of Government Failure in the Face of Heterogeneous Demands." Perspectives on Public Management and Governance, 2(2): 103-121. (Park)

Other:

Avner Ben-Ner and Theresa Van Hoomissen. 1991. "Nonprofit Organizations in the Mixed Economy: A Demand and Supply Analysis." <u>Annals of Public and Cooperative Economics</u>, 62:519-550.

Peter Frumkin. 2002. <u>On Being Nonprofit: A Conceptual and Policy Primer</u>. Cambridge, MA: Harvard University Press. Chapter 3

Maitreesh Ghatak. 2020. "Economic Theories of the Social Sector: From Nonprofits to Social Enterprise." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 13.

Organizational Issues

February 27th Accountability

*J.E. Sowa, S.C. Selden, Jodi R. Sandfort. 2004. "No longer unmeasurable? A multidimensional integrated model of nonprofit organizational effectiveness." <u>Nonprofit and Voluntary Sector Quarterly</u>, 33(4):711-28. (Minchen)

*Alnoor Ebrahim. 2005. "Accountability myopia: Losing sight of organizational learning." Nonprofit and Voluntary Sector Quarterly, 34(1):56-87. (Minchen)

*Debra C. Minkoff, Debra C. and Walter W. Powell. 2006. "Nonprofit Mission: Constancy, Responsiveness, or Deflection?" In <u>The Nonprofit Sector: A Research</u> <u>Handbook, 2nd edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

*Hokyu Hwang and Walter W. Powell. 2009. "The Rationalization of Charity: the Influences of Professionalism in the Nonprofit Sector." <u>Administrative Science Quarterly</u>, 54:268-98. (Soh)

*Kellie C. Liket and Karen Maas. 2015. "Nonprofit Organizational Effectiveness: Analysis of Best Practices." <u>Nonprofit and Voluntary Sector Quarterly</u>, 44(2):268-96. (Minchen)

*Paul Brest. 2020. "The Outcomes Movement in Philanthropy and the Nonprofit Sector." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 16.

Other:

Robert Michels [1915] 1962. <u>Political Parties: A sociological Study of the Oligarchical</u> <u>Tendencies of Modern Democracy</u>. New York: Free Press.

Mayer Zald. 1970. <u>Organizational Change: The Political Economy of the YMCA</u>. Chicago: University of Chicago Press.

Joseph Galaskiewicz and Wolfgang Bielefeld. 1998. <u>Nonprofit Organizations in an Age of</u> <u>Uncertainty: A Study of Organizational Change</u>. Hawthorne, NY: Aldine de Gruyter.

Peter Frumkin and Joseph Galaskiewicz. 2004. "Institutional Isomorphism and Public Sector Organizations." Journal of Public Administration Research and Theory, 14:283-307.

Ostrower, Francie and Melissa M. Stone. 2006. "Governance: Research Trends, Gaps, and Future Prospects." In <u>The Nonprofit Sector: A Research Handbook, 2nd edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

Bradley J. Koch, Joseph Galaskiewicz, and Alisha Pierson. 2015. "Networks and Organizational Mission." <u>Nonprofit and Voluntary Sector Quarterly</u>. First published online on February 17, 2014 as doi:10.1177/0899764014523335.

March 5th Social Enterprises

*Julie Battilana and Silvia Dorado. 2010. "Building Sustainable Hybrid Organizations: The Case of Commercial Microfinance Organizations." <u>Academy of Management Journal</u>. 53(6):1419-1440. (Park)

*Dennis R. Young. 2012. "The State of Theory and Research on Social Enterprises." In <u>Social Enterprises: An Organizational Perspective</u> edited by Benjamin Gidron and Yeheskel Hasenfeld. New York: Palgrave Macmillan.

*Eve Garrow and Yehskel Hasenfeld. 2012. "Managing Conflicting Institutional Logics Social Ervice vs. Market." In <u>Social Enterprises: An Organizational Perspective</u> edited by Benjamin Gidron and Yeheskel Hasenfeld. New York: Palgrave Macmillan. (Soh)

*Joseph Galaskiewicz and Sondra Barringer. 2012. "Social Enterprises and Social Categories." Pp. 47-70 in <u>Social Enterprises: An Organizational Perspective</u>, edited by Benjamin Gidron and Yeheskel Hasenfeld. New York: Palgrave Macmillan. (Aljadid)

*Julie Battilana and Mathew Lee. 2014. "Advancing Research on Hybrid Organizing -

Insights from the Study of Social Enterprises." The Academy of Management Annals, 8(1): 397–441. (Park)

*Witesman, Eva M. Curtis Child, and G. Breck Wightman. 2019. "Sector Choice and Sector Regret." <u>Nonprofit and Voluntary Sector Quarterly</u>, 48(3): 492-512.

*Johanna Mair. 2020. "Social Entrepreneurship: Research as Disciplined Exploration." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 14.

Other:

Peter Frumkin. 2002. <u>On Being Nonprofit: A Conceptual and Policy Primer</u>. Cambridge, MA: Harvard University Press. Chapter 5.

Paul C. Light. 2008. <u>The Search for Social Entrepreneurship</u>. Washington, DC: Brookings Institution Press.

M. Zhao. 2012. "The social enterprise emerges in China." <u>Stanford Social Innovation</u> <u>Review</u>, 10(2): 30-35.

Jacque Defourny and Marthe Nyssens. 2012. "Conceptions of Social Enterprise in Europe: A Comparative Perspective with the United State." In <u>Social Enterprises: An Organizational</u> <u>Perspective</u> edited by Benjamin Gidron and Yeheskel Hasenfeld. New York: Palgrave Macmillan.

Curtis Child. 2016. "The Nonprofit Underpinnings of For-Profit Social Enterprise." Nonprofit and Voluntary Sector Quarterly, 45(2):217-237.

Stakeholder Relations

March 19th Volunteers

*Thomas Rotolo. 2000. "A Time to Join, A Time to Quit: The Influence of Life Cycle Transitions on Voluntary Association Membership." <u>Social Forces</u>, 78:1133-61.

*Marc A. Musick, John Wilson, and William B. Bynum Jr. 2000. "Race and Formal Volunteering: The Differential Effects of Class and Religion." <u>Social Forces</u>, 78:1539-71. (James)

*Robert Andersen, James Curtis, and Edward Grabb. 2006. "Trends in Civic Association Activity in Four Democracies: The Special Case of Women in the United States." <u>American</u> <u>Sociological Review</u>, 71: 376-400. (Lee)

*John Wilson. 2012. "Volunteerism Research: A Review Essay" <u>Nonprofit and Voluntary</u> <u>Sector Quarterly</u>, 41(2): 176-212 DOI: 10.1177/0899764011434558

*Arthur A. Stukas, et al. 2016. "Motivations to Volunteer and Their Associations with Volunteers' Well-Being." <u>Nonprofit and Voluntary Sector Quarterly</u>, 45(1): 112-132. (Minchen)

*Wiertz, Dingeman and Chaeyoon Lim. 2019. "The Civic Footprints of Labor Market Participation: Longitudinal Evidence from the United States, 2002-2015." Social Forces, 97(4): 1757-1784.

*Nina Eliasoph. 2020. "What Do Volunteers Do?" In <u>The Nonprofit Sector: A Research</u> <u>Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 25.

Other:

Pamela A. Popielarz and J. Miller McPherson. 1995. "On the Edge or In Between: Niche Position, Niche Overlap, and the Duration of Voluntary Association Memberships." <u>American Journal of Sociology</u>, 101:698-720.

Robert Wuthnow. 1998. <u>Loose Connections: Joining Together in America's Fragmented</u> <u>Communities</u>. Cambridge, MA: Harvard University Press.

John Wilson. 2000. "Volunteering." Annual Review of Sociology, 26:215-40.

Evan Schofer and Marion Fourcade-Gourinchas. 2001. "The Structural Contexts of Civic Engagement: Voluntary Association Membership in Comparative Perspective." <u>American Sociological Review</u>, 66:806-828.

Eleanor Brown and James M. Ferris. 2007. "Social Capital and Philanthropy: An Analysis of the Impact of Social Capital on Individual Giving and Volunteering." <u>Nonprofit and Voluntary Sector Quarterly</u>, 36: 85-99.

Francesca Borgonovi. 2008. "Divided We Stand, United We Fall: Religious Pluralism, Giving, and Volunteering." <u>American Sociological Review</u>, 73: 105-128.

Kraig Beyerlein and David Sikkink. 2008. "Sorrow and Solidarity: Why Americans Volunteered for 9/11 Relief Efforts." <u>Social Problems</u>, 55:190-215.

Eleanor Brown and David Martin. 2012. "Individual Giving and Volunteering." In <u>The</u> <u>State of Nonprofit America</u>, 2nd Edition, edited by Lester M. Salamon. Washington, D.C.: Brookings Institution Press.

March 26 Individual Donors

*Francie Ostrower. 1995. <u>Why the Wealthy Give: The Culture of Elite Philanthropy</u>. Princeton, NJ: Princeton University Press. Introduction, Chapter 1. (Thorp)

*Michael Moody. 2008. "Building a culture: The Construction and Evolution of Venture Philanthropy as a New Organizational Field." <u>Nonprofit and Voluntary Sector Quarterly</u>, 37:324-52. (Thorp)

*Gregory D. Saxton and Lili Wang. 2014. "The Social Network Effect: The Determinants of Giving Through Social Media." <u>Nonprofit and Voluntary Sector Quarterly</u>, 43(5): 850-68. (James)

*Emily Barman. 2017. "The Social Bases of Philanthropy." <u>Annual Review of Sociology</u>, 43: 271-90.

*Xiaofeng Wang and Kevin C. Desouza. 2019. "China's New Model of Blockchain-Driven Philanthropy." Stanford Social Innovation Review, 17(3): 26-31. (Li)

*Van den Broek, Tijs A., Ariana Need, Michel L. Ehrenhard, Anna Priante, Djoerd Hiemstra. 2019. "The Influence of Network Structure and Prosocial Cultural Norms on Charitable Giving: A Multilevel Analysis of Movember's fundraising Campaigns in 24 Countries." <u>Social Networks</u>, 58: 128-35.

*Pamela Paxton. 2020. "What Influences Charitable Giving?" In <u>The Nonprofit Sector: A</u> <u>Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 23.

Other:

Peter Frumkin. 2002. <u>On Being Nonprofit: A Conceptual and Policy Primer</u>. Cambridge, MA: Harvard University Press. Chapter 4.

Kieran Healy. 2004. "Altruism as an Organizational Problem: The Case of Organ Procurement." <u>American Sociological Review</u>, 69:387-404.

Angela M. Eikenberry. 2006. "Giving circles: Growing grassroots philanthropy." <u>Nonprofit</u> and Voluntary Sector Quarterly, 35(3): 517-532.

Lise Vesterlund. 2006. "Why Do People Give?" In <u>The Nonprofit Sector: A Research</u> <u>Handbook (2nd edition)</u>, edited by Walter W. Powell Richard Steinberg. New Haven, CT: Yale University Press.

Emily Barman. 2007. "An Institutional Approach to Donor Control: From Dyadic Ties to a Field-Level Analysis." <u>American Journal of Sociology</u>, 112: 1416-57.

Eleanor Brown and James M. Ferris. 2007. "Social capital and philanthropy: An analysis of the impact of social capital on individual giving and volunteering." Nonprofit and Voluntary Sector Quarterly, 36(1):85-99.

Russell N. James III and Deanna L. Sharpe. 2007. "The Nature and Causes of the U-Shaped Charitable Giving Profile." <u>Nonprofit and Voluntary Sector Quarterly</u>, 36:218-238.

C. L. Hsu. 2008. "Rehabilitating charity in China: The case of Project Hope and the rise of non-profit organizations." Journal of Civil Society, 4(2)81-96. (Zhao)

Laura Arrillaga-Andreessen. 2011. <u>Giving 2.0: Transform your giving and our world.</u> San Francisco, CA: Jossey-Bass Publishers.

Laura Arrillaga-Andreessen. 2015. "Disruption for Good." <u>Stanford Social Innovation</u> <u>Review</u>, 13(2):34-39.

"Giving by Individuals." Giving USA, 2019. Glenview, IL: Giving USA Foundation. p. 77-100.

April 2 Foundation Donors

*Robert F. Arnove. 1980. "Introduction." Pp. 1-23 in <u>Philanthropy and Cultural</u> <u>Imperialism: The Foundations at Home and Abroad</u>, edited by Robert F. Arnove. Boston: G.K. Hall & Company (Aljadid)

*J. Craig Jenkins and Abigail Halcli. 1999. "Grassrooting the System? The Development and Impact of Social Movement Philanthropy, 1953-1990." In <u>Philanthropic Foundations:</u> <u>New Scholarship, New Possibilities</u>, edited by Ellen Condliffe Lagemann. Bloomington, IN: Indiana University Press.

*Kenneth Prewitt. 2006. "Foundations." In <u>The Nonprofit Sector: A Research Handbook</u> (<u>2nd edition</u>), edited by Walter W. Powell Richard Steinberg. New Haven, CT: Yale University Press.

*David C. Hammack and Helmut K. Anheier. 2010. "American Foundations: Their Roles and Contributions to Society." Pp. 3-28 in <u>American Foundations: Roles and Contributions</u>, edited by Helmut K. Anheier and David Hammack. Washington, DC: Brookings Institution Press.

*Jennifer Mosley and Joseph Galaskiewicz. 2010. "The Role of Foundations in Shaping and Responding to Social Welfare Policy Change: The Case of Welfare Reform." Pp. 182-204 in <u>American Foundations: Roles and Contributions</u>, edited by Helmut K. Anheier and David Hammack. Washington, DC: Brookings Institution Press. *Jennifer E. Mosley and Joseph Galaskiewicz. 2015. "The Relationship between Philanthropic Foundation Funding and State-Level Policy in the Era of Welfare Reform." <u>Nonprofit and Voluntary Sector Quarterly,</u> 44(6): 1225–1254. First published online on December 5, 2014 as doi:10.1177/0899764014558932. (Thorp)

*Thomson, Dale E. 2019. "Donor-driven Democracy? Governance Implications of Foundation-dependent Revitalization." Journal of Urban Affairs, 41(4): 551-569.

Other:

Francisco Delfin, Jr. and Shui-Yan Tang. 2006. "Philanthropic Strategies in Place-Based, Collaborative Land Conservation: The Packard Foundation's Conserving California Landscape Initiative." <u>Nonprofit and Voluntary Sector Quarterly</u>, 35:405-29.

Steven Heydemann and Rebecca T. Kinsey. 2010. "The Contributions of International Foundations to Society: The U.S. Experience, 1919-1991." In <u>American Foundations: Roles and Contributions</u>, edited by Helmut Anheier and David Hammack. Washington, D.C.: Brookings Press.

Leslie Lenkowsky. 2012. "Foundations and Corporate Philanthropy." In <u>The State of</u> <u>Nonprofit America</u>, 2nd Edition, edited by Lester M. Salamon. Washington, D.C.: Brookings Institution Press.

"Giving by Foundations." Giving USA, 2019. Glenview, IL: Giving USA Foundation. p. 101-124.

April 9 Corporate Donors (Guest: Julia Smith)

*Milton Freidman. 1963. <u>Capitalism and Freedom</u>. Chicago: University of Chicago Press. Chapter 8. (James)

*Joseph Galaskiewicz. 1991. "Making Corporate Actors Accountable: Institution-Building in Minneapolis-St. Paul." In <u>The New Institutionalism in Organizational Analysis</u>, edited by Walter W. Powell and Paul J. DiMaggio. Chicago: University of Chicago Press.

*Joseph Galaskiewicz and Michelle Sinclair. 2006. "Collaboration between Corporations and Nonprofit Organizations." In <u>The Nonprofit Sector: A Research Handbook (2nd edition)</u>, edited by Walter W. Powell Richard Steinberg. New Haven, CT: Yale University Press.

*Brayden King. 2008. "A Political Mediation Model of Corporate Response to Social Movement Activism." <u>Administrative Science Quarterly</u>, (2008): 395–421. (Niu)

*Emily Barman. 2016. <u>Caring Capitalism: The Meaning and Measure of Social Value</u>. New York: Cambridge University Press. Chapter 7.

*Young-Chul Jeong and Tai-Young Kim. 2019. "Between Legitimacy and Efficiency: An Institutional Theory of Corporate Giving." <u>Academy of Management Journal</u>, 62(5): 1583-1608. (Yoon)

*Shipeng Yan, Fabrizio Ferraro, and Juan (John) Almandoz. 2019. "The Rise of Socially Responsible Investment Funds: The Paradoxical Role of the Financial Logic." <u>Administrative Science Quarterly</u>, 64(2): 466-501. (Li)

Other:

Joseph Galaskiewicz. 1985. <u>Social Organization of an Urban Grants Economy: A Study of</u> <u>Business Philanthropy and Nonprofit Organizations</u>. Orlando, FL: Academic Press.

Usha C. Haley. 1991. "Corporate Contributions as Managerial Masques: Reframing Corporate Contributions as Strategies to Influence Society." <u>Journal of Management Studies</u>, 28: 485-509.

Norman Bowie. 1993. "The Clash between Academic Values and Business Values." <u>Business & Professional Ethics Journal</u>, 12: 3-19.

Faith Kahn. 1997. "Pandora's Box: Managerial Discretion and the Problem of Corporate Philanthropy." <u>UCLA Law Review</u>, 44, No. 3: 579-676.

Burt Weisbrod. 1998. "The Nonprofit Mission and Its Financing: Growing Links between Nonprofits and the Rest of the Economy." In <u>To Profit or Not to Profit: The Commercial</u> <u>Transformation of the Nonprofit Sector</u> edited by Burt Weisbrod. New York: Cambridge University Press.

Doug Guthrie and Michael McQuarrie. 2008. "Providing for the Public Good: Corporate-Community Relations in the Era of the Receding Welfare State." <u>City and Community</u>, 7:113-140.

"Giving by Corporations." Giving USA, 2019. Glenview, IL: Giving USA Foundation. p. 141-159.

April 16th Government Funders

*H. Brinton Milward and Keith G. Provan. 2000. "Governing the Hollow State" <u>Journal of</u> <u>Public Administration Research and Theory</u>, 10:359-379. (Park)

*Dennis R. Young. 2000. "Alternative models of government-nonprofit sector relations: Theoretical and international perspectives." <u>Nonprofit and Voluntary Sector Quarterly</u>, 29(1):149-72. DOI: 10.1177/0899764000291009

*Nicole P. Marwell. 2004. "Privatizing the Welfare State: Nonprofit Community-Based Organizations as Political Actors." <u>American Sociological Review</u>, 69:265-291. (Aljadid)

*Mark Chaves, Laura Stephens, and Joseph Galaskiewicz. 2004. "Does Governmental Funding Suppress Nonprofits' Political Activity?" <u>American Sociological Review</u>, 69:292-316. (Lee)

*Beth Gazley and Jeffrey L. Brudney. 2007. "The purpose (and perils) of governmentnonprofit partnership." <u>Nonprofit and Voluntary Sector Quarterly</u>, 36(3):389-415 DOI: 10.1177/0899764006295997 (Stipek)

*Mei-Ling Wang. 2012. "Managing HIV/AIDS: Yunnan's Government-driven, Multisector Partnership Model." <u>Management and Organization Review</u>, 8(3): 535-558. (Niu)

* Nicole Marwell and Maoz Brown. 2020. "Towards a Governance Framework for Government-Nonprofit Relations." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 9.

Other:

Lester M. Salamon. 1995. "Of Market Failure, Voluntary Failure, and Third Party Government: Toward a Theory of Government-Nonprofit Relations in the Modern Welfare State." In <u>Partners in Public Service: Government-Nonprofit Relations in the Modern</u> <u>Welfare State</u>, by Lester M. Salamon. Baltimore, Maryland: The Johns Hopkins University Press.

Martha Minow. 2002. <u>Partners, Not Rivals: Privatization and the Public Good</u>. Boston: Beacon Press.

Wendy Cadge and Robert Wuthnow. 2006. "Religion and the Nonprofit Sector." In <u>The</u> <u>Nonprofit Sector: A Research Handbook, 2nd Edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

Stephen R. Smith and Kirsten Groenbjerg. 2006. "Scope and Theory of Government-Nonprofit Relations." In <u>The Nonprofit Sector: A Research Handbook, 2nd Edition</u>, edited by Walter W. Powell and Richard Steinberg. New Haven, CT: Yale University Press.

Kirsten A. Gronbjerg and Lester M. Salamon. 2012. "Devolution, Marketization, and the Changing Shape of Government-Nonprofit Relations." In <u>The State of Nonprofit America</u>, <u>2nd Edition</u>, edited by Lester M. Salamon. Washington, D.C.: Brookings Institution Press.

Global Dimensions

April 23 Comparative Perspectives

*Lester M. Salamon and Helmut K. Anheier. 1998. Social origins of civil society: Explaining the nonprofit sector cross-nationally. <u>Voluntas</u>, 9(3): 213-247.

*Evan Schofer and Wesley Longhofer. 2010. "Global and National Origins of Environmental Association." <u>American Sociological Review</u>, 75:505-533.

*Gil Luria, Ram A. Cnaan, and Amnon Boehm. 2015. "National Culture and Prosocial Behaviors: Results from 66 Countries." <u>Nonprofit and Voluntary Sector Quarterly</u>, 44(5): 1041-1065. (Niu)

*Seok Eun Kim and You Hyun Kim. 2018. "Democracy and Nonprofit Growth: A Cross-National Panel Study." <u>Nonprofit and Voluntary Sector Quarterly</u>, 47(4): 702-722.

*Evan Schofer and Wesley Longhofer. 2020. "The Global Rise of Non-governmental Organizations." In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 27

*Helmut Anheier, Markus Lang, and Stefan Toepler. 2020. "Comparative Nonprofit Sector Research: A Critical Assessment" In <u>The Nonprofit Sector: A Research Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 30

Other:

Feng Wang, Haitao Yin, and Zhiren Zhou. 2012. "The Adoption of Bottom-up Governance in China's Homeowners Associations." <u>Management and Organization</u> <u>Review</u>, 8(3): 559-584.

Robert Pekkanen. 2006. Japan's Dual Civil Society: Members without Advocates. Stanford, CA: Stanford University Press.

April 30 International Non-governmental Organizations

*Tim Bartley. 2007. Institutional emergence in an era of globalization: The rise of transnational private regulation of labor and environmental condition. <u>American Journal of Sociology</u>, 113(2): 297-351. (Li)

*Susan Cotts Watkins, Ann Swidler, and Thomas Hannan. 2012. "Outsourcing Social Transformation: Development NGOs as Organizations." <u>Annual Review of Sociology</u>, 38:285–315.

*Tim Buthe, Solomon Major and Andre de Mello e Souza. 2014. "The Politics of Private Foreign Aid: Humanitarian Principles, Economic Development Objectives, and

Organizational Interests in NGO Private Aid Allocation." <u>International Organization</u>. 66:571-607.

*Eilstrup-Sangiovanni & Teale N. Phelps Bondaroff. 2014. "From Advocacy to Confrontation: Direct Enforcement by Environmental NGOs." <u>International Studies</u> <u>Quarterly</u>, 58: 348-361.

*Wesley Longhofer et al. 2016. "NGOs, INGOs, and Environmental Policy Reform, 1970-2010." <u>Social Forces</u>, 94(4): 1743-1768.

*Alexander E. Kentikelenis and Leonard Seabrooke. 2017. "The Politics of World Polity: Script-writing in International Organizations." <u>American Sociological Review</u>, 82(5): 1065-1092. (Li)

* Kristopher Velasco. 2018. "Human Rights INGOs, LGBT INGOs, and LGBT Policy Diffusion, 1991-2015." <u>Social Forces</u>, 97(1): 377-404. (James)

*Kendra Dupuy and Aseem Prakash. 2020. "Global Backlash against Foreign Funding to Domestic Non-governmental Organizations." In <u>The Nonprofit Sector: A Research</u> <u>Handbook, 3rd Edition</u>, edited by Walter W. Powell and Patricia Bromley. Palo Alto, CA: Stanford University Press. Chapter 28

Other:

John W. Meyer, David J. Frank, Ann Hironaka, Evan Schofer, and Nancy B. Tuma. 1997. "The Structuring of a World Environmental Regime, 1870-1990." <u>International</u> <u>Organization</u>, 51:623-51.

Margaret E. Keck and Kathryn Sikkink. 1998. <u>Activists beyond borders</u>. Ithaca: Cornell University Press. Chapter 1.

Tim Bartley. 2003. "Certifying Forests and Factories: States, Social Movements, and the Rise of Private Regulation in the Apparel and Forest Products Fields." <u>Politics and Society</u>, 31:433-464.

Alnoor Ebrahim. 2003. <u>NGOs and Organizational Change: Discourse, Reporting, and Learning</u>. New York: Cambridge University Press.

John Boli. 2006. "International Nongovernmental Organizations." In <u>The Nonprofit</u> <u>Sector: A Research Handbook (2nd edition)</u>, edited by Walter W. Powell Richard Steinberg. New Haven, CT: Yale University Press.

Hagai Katz and Helmut Anheier. 2006. "Global Connectedness: The Structure of Transnational NGO Networks." In <u>Global Civil Society, 2005/6</u>, edited by Marlies Glasius, Mary Kaldor, and Helmut Anheier. London: Sage Publications.

Jeffrey H. Marshall and David Suarez. 2014. "The Flow of Management Practices: An Analysis of NGO Monitoring and Evaluation Dynamics." <u>Nonprofit and Voluntary Sector</u> <u>Quarterly</u>, 43(6):1033-51. DOI: 10.1177/0899764013494117

May 7th Papers due